

PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
With Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number **555255012720**

First Named Inventor **Mihal Lazaridis**

COMPLETE IF KNOWN

Application Number **10/785,638**

Filing Date **02/24/2004**

Art Unit **2871**

Examiner Name

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MONOCHROMATIC FIELD SEQUENTIAL LIQUID CRYSTAL DISPLAY**

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

**02/24/2004**

as United States Application Number or PCT International

Application Number **10/785,638** and was amended on (MM/DD/YYYY) (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☐ Customer Number:  OR ☒ Correspondence address below

Name

Michael R. Asam, Esq.

Address

JONES DAY - North Point, 901 Lakeside Avenue

City

Cleveland

State

Ohio

ZIP

44114-1190

Country

U.S.A.

Telephone

216-586-3939

Fax

216-579-0212

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Mihal

Family Name

or Surname

Lazaridis

Inventor's  
Signature

Date

28 May 04

Residence: City

Waterloo

State

Ontario

Country

Canada

Citizenship

CA

Mailing Address

295 Phillip Street

City

Waterloo

State

Ontario

ZIP

N2L 3W8

Country

Canada

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Robert J.

Family Name

or Surname

Lowles

Inventor's  
Signature

Date

Residence: City

Waterloo

State

Ontario

Country

Canada

Citizenship

CA &amp; GB

Mailing Address

295 Phillip Street

City

Waterloo

State

Ontario

ZIP

N2L 3W8

Country

Canada

☒ Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

PTO/SB/01 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input type="checkbox"/> Customer Number: <input type="text"/>		OR <input checked="" type="checkbox"/> Correspondence address below	
Name Michael R. Asam, Esq.			
Address JONES DAY - North Point, 901 Lakeside Avenue			
City Cleveland	State Ohio	ZIP 44114-1190	
Country U.S.A.	Telephone 216-586-3939	Fax 216-579-0212	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Mihai		Family Name or Surname Lazaridis	
Inventor's Signature			Date
Residence: City Waterloo	State Ontario	Country Canada	Citizenship CA
Mailing Address 295 Phillip Street			
City Waterloo	State Ontario	ZIP N2L 3W8	Country Canada
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Robert J.		Family Name or Surname Lowles	
Inventor's Signature <i>Robert Lowles</i>			Date <i>Aug 17, 2004</i>
Residence: City Waterloo	State Ontario	Country Canada	Citizenship CA & GB
Mailing Address 295 Phillip Street			
City Waterloo	State Ontario	ZIP N2L 3W8	Country Canada
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

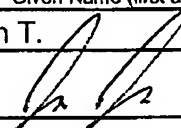
PTO/SB/02A (08-03)

Approved for use through 08/31/2003. OMB 0851-0032

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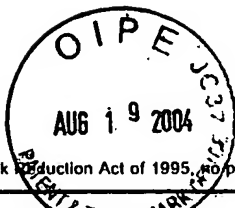
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**DECLARATION****ADDITIONAL INVENTOR(S)**  
Supplemental SheetPage 1 of 1

<b>Name of Additional Joint Inventor, If any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jason T.		Griffin	
Inventor's Signature		Date	
		August 17 <sup>th</sup> , 2004	
Residence: City	State	Country	Citizenship
Waterloo	Ontario	Canada	US & CA
Mailing Address			
295 Phillip Street			
Mailing Address			
City	State	Zip	Country
Waterloo	Ontario	N2L 3W8	Canada
<b>Name of Additional Joint Inventor, If any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
<b>Name of Additional Joint Inventor, If any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:

☒ Practitioners associated with the Customer Number:

24325

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

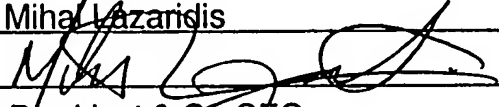
Assignee Name and Address:

Research In Motion Limited  
295 Phillip Street  
Waterloo, Ontario, Canada N2L 3W8

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

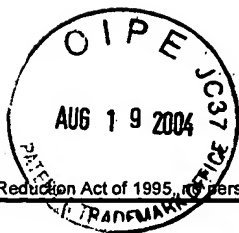
**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Mihail Lazaridis	Date	JAN 16, 2004
Signature		Telephone	519-888-7465
Title	President & Co-CEO		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Mihal Lazaridis; Robert J. Lowles; Jason T. GriffinApplication No./Patent No.: 10/785,638 Filed/Issue Date: 02/24/2004Entitled: MONOCHROMATIC FIELD SEQUENTIAL LIQUID CRYSTAL DISPLAYResearch In Motion Limited, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8-17-04

Date

216-586-3939

Telephone number

Michael R. Asam

Typed or printed name

Signature

Attorney (Agent) for Assignee

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**WORLDWIDE ASSIGNMENT**

WHEREAS, I/WE, (hereinafter referred to as the "ASSIGNOR(S)"):

**Mihal Lazaridis, 263 Carrington Place, Waterloo, Ontario N2T 2K1 Canada**  
**Robert J. Lowles, 393 Gatestone Blvd., Waterloo, Ontario N2T 2J5 Canada**  
**Jason T. Griffin, 522 Cairncroft Place, Waterloo, Ontario N2T 2J5 Canada**

has/have invented certain new and useful improvements in an invention entitled

**MONOCHROMATIC FIELD SEQUENTIAL LIQUID CRYSTAL DISPLAY**

for which an application for United States Letters Patent will be filed, and as further identified by Docket No. 555255-012-720 and RIM No. PUS-1494; and

WHEREAS, **RESEARCH IN MOTION LIMITED**, (hereinafter referred to as the "ASSIGNEE"), a corporation organized under the laws of the Province of Ontario, CANADA, having a place of business at 295 Phillip Street, Waterloo, Ontario, CANADA, N2L 3W8, is desirous of acquiring the full and exclusive right, title and interest in and to said application inclusive of any and all priority rights derived therefrom and the inventions therein disclosed, and in and to all Letters Patent, both United States and foreign, to be granted for said inventions;


NOW, THEREFORE, for a valuable consideration, the receipt whereof is hereby acknowledged, I/WE, ASSIGNOR(S) does/do hereby confirm sale, assignment, transfer, and set over, and hereby sell, assign, transfer, and set over unto the ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest in and to the aforesaid application for United States Letters Patent inclusive of any and all priority rights derived therefrom, and the inventions therein disclosed, and in and to all Letters Patent and issues thereof which may be granted upon said application and in and to all Letters Patent which may be issued upon any substitutes, divisions, or continuations of said application, and in and to any and all Letters Patent which may be granted for said inventions in any foreign country or countries; the same to be held and enjoyed by the ASSIGNEE for its own use and behoof, and for the use and behoof of its successors and assigns, to the full end of the term or terms for which said Letters Patent and reissues thereof may be granted as fully and entirely as the same would have been held and enjoyed by me (us) had this assignment and sale not been made;

AND I/WE, ASSIGNOR(S) hereby agrees/agree to execute, upon request, any and all further papers which may be necessary or desirable to enable the ASSIGNEE, its successors and assigns, to file and prosecute said application, and any and all substitutes, divisions, or continuations thereof, and any and all reissues of the Letters Patent granted upon said application, or upon any substitutes, divisions, or continuations thereof, and any and all applications for foreign Letters Patent on said inventions; and ASSIGNOR(S) further agrees/agree to execute any and all further papers which may be necessary or desirable to vest or perfect the title of ASSIGNEE, its successors and assigns, in and to said application and the inventions therein disclosed, and in and to any and all Letters Patent and reissues thereof, both United States and foreign, which may be granted upon said application, and any substitutes, divisions, or continuations thereof, and upon any foreign applications.

AND I/WE, ASSIGNOR(S) hereby authorizes/authorize and requests/request The Commissioner of Patents to issue each and every Letters Patent to be granted upon the aforesaid application for United States Letters Patent, and upon any and all substitutes, divisions, and continuations of said application, and each and every reissue of said Letters Patent, to the ASSIGNEE, its successors and assigns, as the assignee of the entire right, title and interest therein, in accordance with this assignment.

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date:

28 May 04  
Mihai Lazaridis  
263 Carrington Place  
Waterloo, Ontario N2T 2K1  
Canada

## STATEMENT BY WITNESS

I, Abbey Gilhula, whose full Post Office address is

1508-35 Kingsbridge Garden Circle, Mississauga, Ontario, L5R 3Z5 CANADA  
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date:

28 May 04  
(Signature of Witness)



IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: Aug 17, 2004

Robert J. Lowles  
Robert J. Lowles  
393 Gatestone Blvd.  
Waterloo, Ontario N2T 2J5  
Canada

STATEMENT BY WITNESS

I, Abbey Galtman, whose full Post Office address is

1503-35 KINGSBRIDGE GARDEN CIRCLE, Miss, ON L5R 3Z5  
(Address of Witness)


hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: AUG 17, 2004

[Signature]  
(Signature of Witness)

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: August 17<sup>th</sup>, 2004

  
\_\_\_\_\_  
Jason T. Griffin  
522 Cairncroft Place  
Waterloo, Ontario N2T 2J5  
Canada

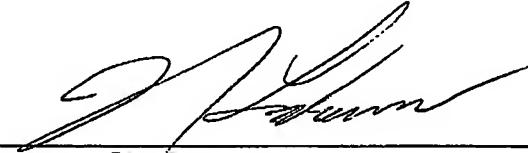
STATEMENT BY WITNESS

I, NORMAN LABOUCUR, whose full Post Office address is

14 GATEVIEW DR, WATERLOO, ONT N2T 1A2  
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: AUG 17/04

  
\_\_\_\_\_  
(Signature of Witness)